



# Steele Due Diligence Services

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# Not all third parties are created equal

When exploring potential third-party business relationships, multinational companies must be equipped with relevant and timely intelligence to make strategic business decisions. However, for practical reasons, companies can't subject all third parties to the same level of vetting.

Steele Due Diligence services are designed to provide you with the necessary resources to build a credible and defensible risk-based compliance program. Combining a global presence and local expertise, Steele has conducted thousands of investigations that stand up to DOJ and SEC scrutiny, and our report offerings provide the appropriate level of vetting based on third-party risk.



## GLOBAL DATABASE CHECKS (GDC) & MEDIA MONITOR

### LOW-RISK THIRD PARTIES

Comprehensive screenings against 1000+ sanctions, embargo, politically exposed persons and government watch lists plus real time monitoring of adverse media findings on your third parties, using natural language processing to filter and score relevant results.

## OPEN SOURCE INVESTIGATIONS (OSI)

### MEDIUM-RISK THIRD PARTIES

Compiles and summarizes insights gathered by trained research analysts from various digital channels.

## OPEN SOURCE INVESTIGATION + STEELE IDENTIFIED PRINCIPAL (OSI + SIP)

### MEDIUM-RISK THIRD PARTIES

Uses Steele's proprietary research techniques and screening methodology to identify the appropriate individuals to investigate; an OSI + SIP identifies and vets up to three principals of a third party.

## ENHANCED DUE DILIGENCE INVESTIGATIONS (EDD)

### HIGH-RISK THIRD PARTIES

Provides a comprehensive analysis using licensed investigators to conduct local investigations; includes an all-encompassing assessment of legally available data.

# Levels of Due Diligence

Steele provides fixed-scope, fixed-cost due diligence services that enable companies to comply with the Foreign Corrupt Practices Act (FCPA) and other global Anti-Bribery and Anti-Corruption (ABAC) regulations.

ANALYSIS PERFORMED	GDC	OSI	OSI +SIP	EDD
Screens third parties against 1000+ sanctions, embargo, politically exposed persons and government watch lists.	●	●	●	●
Databases updated on a real-time basis to reflect current events.	●	●	●	●
Adverse Media searches in English and local languages for evidence of ABAC violations or other red flags.		●	●	●
Summary of third-party business, history, interests, and affiliations.		●	●	●
Collection and interpretation of data to determine a third party's business reputation and integrity.		●	●	●
Complete red flag report, analysis of violations, and confirmation of the third party's ability to deliver on business requirements.		●	●	●
Verification of a third party's ownership structure and principals.		●	●	●
Steele's identification of an entity's principals, where not provided by the client.			●	●
Legally obtainable record search of government offices, conducted by local investigators.				●
Site visits, which include photographic evidence, to confirm location.				●
Collection, analysis, and verification of relevant data to determine a third party's business reputation.				●

# About Steele Compliance Solutions, Inc.

Steele Compliance Solutions, Inc. is a global compliance intelligence firm offering comprehensive third-party due diligence and software-as-a-service (SaaS) solutions that help organizations comply with regulatory third-party compliance requirements. With more than 26 years of experience, due diligence engagements in more than 190 countries, covering more than 40 languages, Steele provides Fortune 1000 companies with pragmatic solutions. Our suite of products and services include regulatory due diligence, third-party program advisory services, program management services, and a secure, automated third-party management software platform.



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